FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office-

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

1640-110

U.S. Application No. (if known, 500 37 CFR 1.5)

10/524,427

INTERNATIONAL APPLICATION NO. PCT/US03/25188

INTERNATIONAL FILING DATE August 13, 2003

PRIORITY DATE CLAIMED August 16, 2002

TITLE OF INVENTION

METHOD OF MAKING A FRANGIBLE NON-TOXIC PROJECTILE

### APPLICANT(S) FOR DO/EO/US

Robert E. PETERSEN

Applicant herewith submits to the United States Designated/E	lected Office	e (DO/EO/US) t	he following iter	ns and o	other
information:					

- 1. [ ] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [ ] The US has been elected (Article 31).
- 5. [ ] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [ ] is attached hereto.
  - b. has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. | have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

### ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [ ] A preliminary amendment w/Substitute Specification (marked-up and clean versions).
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X ] Other items or information: Notice

	U.S. APPLICATION NO. (If known 10/524,427	n, see 37 CFR 1.50)	CFR 1.50) INTERNATIONAL APPLICATION NO. PCT/US03/25188		ATTORNEY DOCKET NO. 1640-110	
	21. [ ] The following fees are submitted:			CALCULATIONS	PTO USE ONLY	
	Basic National Fee				:	
		\$	-			
	Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ X ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
	Claims	Number Filed	Number Extra	Rate		
	Total Claims	-20 =		X \$50.00	\$	
	Independent Claims	- 3 =		X \$200.00	\$	
	Multiple dependent cl	aim(s) (if applica	ble)	+ \$360.00	\$	
		Т	OTAL OF ABOVE CA	ALCULATIONS =	\$	
	Applicant claims s indicated above ar	\$				
	SUBTOTAL =				\$130.00	
	Processing fee of \$130.00 months from the earliest	\$				
	TOTAL NATIONAL FEE =  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$130.00	
					\$	
	TOTAL FEES ENCLOSED =				\$	
	/05/ 2005 GFREY1 00000081 022135 10524427 FC 1617 130.00 DR			Amount to be refunded	\$	
					charged	\$130.00
	<ul> <li>a. A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b. X Please charge my Deposit Account No. 02-2135 in the amount of \$130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</li> </ul>					uired, or
	SEND ALL CORRESPOND	DENCE TO:		Sel. By		
	Customer No. 6449  Rothwell, Figg, Ernst & Manh	beck, PC		Signature  George R. Repper  Name		
	Washington, D.C. 20005 Phone: 202/783-6040			31,414 Registration Numb	er	



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 P.O. Box 1450 Alexandria, Viginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/524,427	Robert E Petersen	1640-110

INTERNATIONAL APPLICATION NO.

PCT/US03/25188

I.A. FILING DATE

08/13/2003

08/16/2002

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005

CONFIRMATION NO. 6257 371 FORMALITIES LETTER

\*OC000000016217654\*

Date Mailed: 06/09/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/15/2005
- Copy of the International Search Report filed on 02/15/2005
- Preliminary Amendments filed on 02/15/2005
- Information Disclosure Statements filed on 02/15/2005
- U.S. Basic National Fees filed on 02/15/2005
- Priority Documents filed on 02/15/2005

	EIVED/FACILITIES
ROTHWELL.	FIGG EBNGT & MANBECK
FILE NO	FIGGERNST & MANBECK
FAC PROC. INIT.	

30	JUN 1 3 200	05
E17724 1	6-150 STY	
o includ	erne fantariore e e e e e e e e e e e e e e e e e e	

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
  by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/524,427	PCT/US03/25188	1640-110

FORM PCT/DO/EO/905 (371 Formalities Notice)